

Atty. Dkt. No. 73139/101

03/22/01
 JC984 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Malackowski et al.

Title: SYSTEM FOR AND METHOD OF
 RISK MINIMIZATION AND
 ENHANCED RETURNS IN AN
 INTELLECTUAL CAPITAL BASED
 VENTURE INVESTMENT

Appl. No.: Unknown

Filing Date: Unknown

Examiner: Unknown

Art Unit: Unknown

| CERTIFICATE OF EXPRESS MAILING | |
|--|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231. | |
| EL564017736US | March 22, 2001 |
| (Express Mail Label Number) | (Date of Deposit) |
| Deborah A. Kocorowski | |
| (Printed Name) | |
| <i>Deborah A. Kocorowski</i> | |
| (Signature) | |

JC972 U.S. PTO
 09/814547
 03/22/01

UTILITY PATENT APPLICATION
TRANSMITTAL

Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

James E. Malackowski
 David A. Kennedy
 Roger L. May

[X] Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

[X] Specification, Claim(s), and Abstract (19 pages).

[X] Informal drawings (3 sheets, Figures 1-3).

[X] Declaration and Power of Attorney (5 pages).

The filing fee is calculated below:

| | Claims as Filed | Included in Basic Fee | Extra Claims | Rate | Fee Totals |
|---|--------------------|--------------------------|-----------------|--|---------------|
| Basic Fee | | | | \$710.00 | \$710.00 |
| Total Claims: | 22 | - 20 | = 2 | x \$18.00 | = \$36.00 |
| Independents: | 3 | - 3 | = 0 | x \$80.00 | = \$0.00 |
| If any Multiple Dependent Claim(s) present: | | | | + \$270.00 | = \$0.00 |
| | | | | SUBTOTAL: | = \$746.00 |
| [X] | | | | Small Entity Fees Apply (subtract ½ of above): | = \$373.00 |
| | | | | TOTAL FILING FEE: | = \$373.00 |

- [X] A check in the amount of \$373.00 to cover the filing fee is enclosed.
- [] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date March 22, 2001

By



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